



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |   |                        |                   |
|--|---|------------------------|-------------------|
|  |   | Application No.        | 10/086,410        |
|  |   | Filing Date            | November 20, 2001 |
|  |   | First Named Inventor   | Paul D. Rietze    |
|  |   | Group Art Unit         | 2841              |
|  |   | Examiner Name          | Bui, Hung S.      |
| Total Number of Pages in This Submission | 5 | Attorney Docket Number | 42390P11636       |

### ENCLOSURES (check all that apply)

|   |  |   |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input checked="" type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><br><input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
|   |  | <input type="checkbox"/> Response to Restriction Requirement<br><br><div style="border: 1px solid black; padding: 5px;">RECEIVED<br/>JAN 15 2003<br/>TECHNOLOGY CENTER 2800</div>   |
| Remarks<br><div style="border: 1px solid black; height: 40px;"></div>   |  |   |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |  |
|-------------------------|--|
| Firm or Individual name | Gregory D. Caldwell, Reg. No. 39,926<br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature               |  |
| Date                    | December 20, 2002  |

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: December 20, 2002

|                       |                   |
|-----------------------|-------------------|
| Typed or printed name | Mary E. Warinner  |
| Signature             |                   |
| Date                  | December 20, 2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (10-02)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
A collection of information unless it displays a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **EE TRANSMITTAL for FY 2003**

*Patent fees are subject to annual revision.*

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT**

| <i>Complete if Known</i> |                   |
|--------------------------|-------------------|
| Application Number       | 10/086,410        |
| Filing Date              | November 20, 2001 |
| First Named Inventor     | Paul D. Rietze    |
| Examiner Name            | Bui, Hung S.      |
| Group/Art Unit           | 2841              |
| Attorney Docket No.      | 42390P11636       |

**METHOD OF PAYMENT** (check one)

Check       Credit card       Money Order       Other       None  
 Deposit Account

**Deposit  
Account  
Number** 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

**The Commissioner is authorized to:** (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments
- Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20
- Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

| Large Entity        |          | Small Entity |          | Fee Description  | Fee Paid |
|---------------------|----------|--------------|----------|--|----------|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051                | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052                | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 2053                | 130      | 2053         | 130      | Non-English specification  |          |
| 1812                | 2,520    | 1812         | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804                | 920*     | 1804         | 920 *    | Requesting publication of SIR prior to Examiner action                     |          |
| 1805                | 1,840*   | 1805         | 1,840 *  | Requesting publication of SIR after Examiner action                        |          |
| 1251                | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252                | 400      | 2252         | 200      | Extension for reply within second month                                    |          |
| 1253                | 920      | 2253         | 460      | Extension for reply within third month                                     |          |
| 1254                | 1,440    | 2254         | 720      | Extension for reply within fourth month                                    |          |
| 1255                | 1,960    | 2255         | 980      | Extension for reply within fifth month                                     |          |
| 1404                | 320      | 2401         | 160      | Notice of Appeal   |          |
| 1402                | 320      | 2402         | 160      | Filing a brief in support of an appeal                                     |          |
| 1403                | 280      | 2403         | 140      | Request for oral hearing   |          |
| 1451                | 1,510    | 2451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452                | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453                | 1,280    | 2453         | 640      | Petition to revive - unintentional   |          |
| 1501                | 1,280    | 2501         | 640      | Utility issue fee (or reissue)   |          |
| 1502                | 460      | 2502         | 230      | Design issue fee   |          |
| 1503                | 620      | 2503         | 310      | Plant issue fee  |          |
| 1460                | 130      | 2460         | 130      | Petitions to the Commissioner  |          |
| 1807                | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(c)  |          |
| 1806                | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021                | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809                | 740      | 1809         | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810                | 740      | 2810         | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801                | 740      | 2801         | 370      | Request for Continued Examination (RCE)                                    |          |
| 1802                | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) |          |              |          |  |          |

[View more about this Microsoft Surface Pro 3 product](#)

**Complete (if applicable)**

|                   |   |                                      |        |                             |
|-------------------|---|--------------------------------------|--------|-----------------------------|
| SUBMITTED BY      |   | Complete (if applicable)             |        |                             |
| Name (Print/Type) | Gregory D. Caldwell   | Registration No.<br>(Attorney/Agent) | 39,926 | Telephone<br>(503) 684-6200 |
| Signature         |  |                                      | Date   | 12/20/02                    |

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

Burden Hour Statement: This form is estimated to take 12 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.